**PATENT** 

I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450,

Alexandria, VA 22313-1450.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

plicant: Alan R. Reinberg

Attorney Docket No.: 500378.03

Patent No. : US 6,896,969 B2

Serial No.

: 10/781,148

Issue Date: May 24, 2005

Filed

: February 17, 2004

Title

: OXIDATIVE CONDITIONING COMPOSITIONS FOR METAL OXIDE LAYER

AND APPLICATIONS THEREOF

#### REQUEST FOR CERTIFICATE OF CORRECTION

Certificate NOV 0 4 2005

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

of Correction

Sir:

A Certificate of Correction under 35 U.S.C. § 254 is respectfully requested for the above-identified patent in order to correct Patent and Trademark Office errors made during the printing of the patent. The changes in the patent needed to correct the errors are as follows:

Column, Line	Reads	Should Read
Column 2, Lines 31 and 32	"low temperatures (i.e., approximately 400° C. and is therefore not damaging to the underlying polysilicon conductive"	low temperatures (i.e., approximately 400° C and is therefore not damaging to the underlying polysilicon conductive
Column 4, Line 4	"a temperature ranging from 100° to 600° C. at a pressure"	a temperature ranging from 100° to 600° C at a pressure

Column 5, Line 37	"V <sub>2</sub> O <sub>3</sub> , V <sub>2</sub> O <sub>5</sub> , VO <sub>2</sub> , V <sub>2</sub> O <sub>5</sub> ,	V <sub>2</sub> O <sub>3</sub> , V <sub>2</sub> O <sub>5</sub> , VO <sub>2</sub> , V <sub>2</sub> O <sub>5</sub> ,
	VO <sub>2</sub> , WO <sub>3</sub> , Y <sub>2</sub> O <sub>3</sub> , ZnO,	VO <sub>2</sub> , WO <sub>3</sub> , Y <sub>2</sub> O <sub>3</sub> , ZnO, and
	and Zro2"	ZrO <sub>2</sub>
Column 8, Line 15	"point of 16.8° C. (62.2°	point of 16.8° C (62.2° F). A
	F.). A small amount of	small amount of inhibitor
	inhibitor"	
Column 8, Line 18	"higher melting beta-(m.p.	higher melting beta-(m.p.
	32.5° C.) and alpha-(m.p."	32.5° C) and alpha-(m.p
Column 8, Line 19	"62.3° C. forms of sulfur	62.3° C forms of sulfur
	trioxide. Gamma-form	trioxide. Gamma-form sulfur
	sulfur"	
Column 8, Line 22	"has a melting point of	has a melting point of greater
	greater than 16.8° C., the	than 16.8° C, the sulfur
	sulfur"	
Column 9, Line 34	"between 100° C. to 600°	between 100° C to 600° C;
	C.; and the time of	and the time of exposure,
	exposure, given"	given
Column 11, Line 63	"600° C. and the sulfur	600° C and the sulfur trioxide
	trioxide is preferably in	is preferably in contact with
	contact with"	
Column 12, Line 53	"V <sub>2</sub> O <sub>5</sub> , VO <sub>2</sub> and ZnO"	VaO <sub>5</sub> , VO <sub>2</sub> , and ZnO
Column 12, Line 63	"comprised of	comprised of
	substoichemetric tantalum	substoichiometric tantalum
	oxide."	oxide
Column 12, Line 67	"comprised of	comprised of
	substoichemetric oxide."	substoichiometric oxide
Column 13, Line 4	"temperature ranging from	temperature ranging from
	100° C. to 600° C."	100° C to 600° C

Column 14, Lines 5 and 6

"is comprised of

--is comprised of substoichiometric tantalum

substoichemetric tantalum

oxide layer

oxide layer having a

onido layer

thickness of 5 to 200

having a thickness of 5 to 200

Angstroms, wherein the

Angstroms, wherein the sulfur--

sulfur"

Column 14, Lines 7 and 8

"trioxide is gaseous sulfur

--trioxide is gaseous sulfur trioxide, wherein the

trioxide, wherein the substoichemetric tantalum

substoichiometric tantalum oxide layer is disposed on a

oxide layer is disposed on

conductive--

a conductive"

The above errors for which correction is requested under 35 U.S.C. § 254 were made in the printing of the patent. The errors are considered sufficiently important to justify the processing of a Certificate of Correction under 35 U.S.C. § 254. A Form PTO-1050, in duplicate, is enclosed herewith.

The Commissioner is hereby authorized to charge payment of any fees associated with this communication to Deposit Account No. 50-1266. A duplicate copy of this sheet is enclosed.

Favorable consideration of this Request is respectfully requested.

Respectfully submitted,

Date: Oct. 27. 2005

 $\mathbf{R}\mathbf{v}$ 

TATO OF THE

Edward W. Bulchis, Reg. No. 26,847

Customer No. 27,076 Dorsey & Whitney LLP

1420 Fifth Avenue, Suite 3400

Seattle, WA 98101 (206) 903-8785

Attorney for Applicant(s)

EWB:clr

Enclosures:

Postcard

Fee Transmittal (+copy)

Form PTO-1050 (+ copy)

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Column 5, Line 37	"V <sub>2</sub> O <sub>3</sub> , V <sub>2</sub> O <sub>5</sub> , VO <sub>2</sub> , V <sub>2</sub> O <sub>5</sub> , VO <sub>2</sub> , WO <sub>3</sub> , Y <sub>2</sub> O <sub>3</sub> , ZnO, and Zro <sub>2</sub> "	$V_2O_3$ , $V_2O_5$ , $VO_2$ , $V_2O_5$ , $VO_2$ , $WO_3$ , $Y_2O_3$ , $ZnO$ , and $ZrO_2$
Column 8, Line 15	"point of 16.8° C. (62.2° F.). A small amount of inhibitor"	point of 16.8° C (62.2° F). A small amount of inhibitor
Column 8, Line 18	"higher melting beta-(m.p. 32.5° C.) and alpha-(m.p."	higher melting beta-(m.p. 32.5° C) and alpha-(m.p
Column 8, Line 19	"62.3° C. forms of sulfur trioxide. Gamma-form sulfur"	62.3° C forms of sulfur trioxide. Gamma-form sulfur
Column 8, Line 22	"has a melting point of greater than 16.8° C., the sulfur"	has a melting point of greater than 16.8° C, the sulfur
Column 9, Line 34	"between 100° C. to 600° C.; and the time of exposure, given"	between 100° C to 600° C; and the time of exposure, given
Column 11, Line 63	"600° C. and the sulfur trioxide is preferably in contact with"	600° C and the sulfur trioxide is preferably in contact with
Column 12, Line 53	" $V_2O_5$ , $VO_2$ and $ZnO$ "	VaO <sub>5</sub> , VO <sub>2</sub> , and ZnO
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Dorsey & Whitney LLP

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(206) 903-8785

Attorney for Applicant(s)

EWB:clr

**Enclosures:** 

Postcard

Fee Transmittal (+copy)

Form PTO-1050 (+ copy)

# UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO.

US 6,896,969 B2

DATED

May 24, 2005

INVENTOR(S)

Alan R. Reinberg

It is certified that error appears in the above identified patent and that said Letters Patent is hereby corrected as shown below:

Column, Line	Reads	Should Read
Column 2, Lines 31 and 32	"low temperatures (i.e., approximately 400° C. and is therefore not damaging to the underlying polysilicon conductive"	low temperatures (i.e., approximately 400° C and is therefore not damaging to the underlying polysilicon conductive
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	1	
3		

Column 11, Line 63	"600° C. and the sulfur trioxide is preferably in contact with"	600° C and the sulfur trioxide is preferably in contact with
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Column 12, Line 67	"comprised of substoichemetric oxide."	comprised of substoichiometric oxide
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Column 14, Lines 5 and 6	"is comprised of substoichemetric tantalum oxide layer having a thickness of 5 to 200 Angstroms, wherein the sulfur"	is comprised of substoichiometric tantalum oxide layer having a thickness of 5 to 200 Angstroms, wherein the sulfur
Column 14, Lines 7 and 8	"trioxide is gaseous sulfur trioxide, wherein the substoichemetric tantalum oxide layer is disposed on a conductive"	trioxide is gaseous sulfur trioxide, wherein the substoichiometric tantalum oxide layer is disposed on a conductive

## MAILING ADDRESS OF SENDER:

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DORSEY & WHITNEY LLP 1420 Fifth Avenue, Suite 3400 Seattle, Washington 98101

FORM PTO-1050 (REV. 3-82)

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Patent No. <u>US 6,896,969 B2</u>

# UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO.

US 6,896,969 B2

DATED

May 24, 2005

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Patent No. <u>US 6,896,969 B2</u>

DORSEY & WHITNEY LLP 1420 Fifth Avenue, Suite 3400 Seattle, Washington 98101

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## FORM PTO-1050 (REV. 3-82)

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Teche on 12/08/04			Сотр	lete if Known	
* <b>4</b> 0.	Applicat	Application No. 10/781,148			
WHEN TO AN CAUTTAL CHEET	Filing Date		Febru	February 17, 2004	
パーストル TRANSMITTAL SHEET (FY 2005)	First Inv	entor	Alan F	Alan R. Reinberg	
(FY 2005)	Group Art Unit Examiner Name		1775	1775 Stephen J. Stein	
FETRACE AND THE TRACE AND THE			Steph		
Applicant claims small entity status (see 37 C.F.R. 1.27)	Atty. Doo	ket Num	ber 50037	8.03	
METHOD OF PAYMENT (Check One)			FEE CALCU	LATION (Continued)	
The Director is hereby authorized to charge any additional fee			3. ADI	DITIONAL FEES	<del>-</del> . •
equired under 37 C.F.R. §§ 1.16 and 1.17 and 1.136(a)(3) and redit any over payments to Deposit Account No. 50-1266; eposit Account Name: DORSEY & WHITNEY LLP.	Large Entity Fee	Small Entity Fee	Fee Descrip	tion	Fee paid
Check Enclosed.	50	25	Surcharge - cover sheet	late provisional filing fee or	
Extra Claim Fees	130	65	Surcharge – fee or oath	Late nonprovisional filing	
Current Claims Prior Extra Fee Fee Paid	180	180	Submission	of IDS	
Total - 20 = x \$ = \$0 nd 3 = x \$ = \$0	40	40		ach patent assignment per nes number of properties)	-
Multiple Dependent Claims	120	60		r reply within first month	
	450	225	Extension fo	r reply within second month	
Petition Fee Under 37 CFR 1.17(f), (g), & (h)	1,020	510	Extension fo	r reply within third month	
Enclosed is a Petition filed under 37 CFR as indicated below:	1,590	795	Extension for	r reply within fourth month	
Petition Fee under 37 CFR 1.17(f) Fee \$400 § 1.53(e) to accord a filing date.	2,160	1,080	Extension fo	r reply within fifth month	
\$ 1.57(a) to accord a filing date. \$ 1.182 for decision on a question not provided for.	790	395	Submission	After Final 1.129	
1.183 to suspend the rules.	500	250	Notice of Ap	peal	
payment of maintenance fee in expired patent.	500	250	Filing a brief	in support of an appeal	
§ 1.174(b) to accord a filing date to an application under §1.740 for extension of patent term.	1,000	500	Request for	oral hearing	
Petition Fee under 37 CFR 1.17(g) Fee \$200	130	65	Terminal Dis	sclaimer Fee	
1.12 for access to an assignment record. 1.14 for access to an application.	800	400	Design Issue		
for filing by other than all inventors or person not the inventor. for expungement of information.	790	395	Request for (RCE)	Continued Examination	
to suspend action in an application. 1.136(b) for review of a request for ext. of time when §1.136(a) not avail. 1.295 for review of refusal to publish a statutory invention registration.	130			voluntary publication or า	
1.296 to withdraw a req. for pub. after notice of intent to publish issued.	500	250	Petition to R	evive – unavoidable	
prior to expiration of a patent.	1,500	750	Petition to R	evive – unintentional	
1.550(c) for request for ext. of time in <u>ex parte</u> reexam. proceedings. 1.956 for request for ext. of time in <u>ex parte</u> reexam. proceedings.	200		• .	tent term adjustment	
<ul> <li>5.12 for expedited handling of foreign filing license.</li> <li>5.15 for changing the scope of a license.</li> </ul>	400		Request for reduced	reinstatement of term	
§ 1.5.25 for retroactive license.  Petition Fee under 37 CFR 1.17(h)  Fee \$130	1,120			f term of patent	
to request documents in a form other than provided in this part.  for accepting color drawings or photographs.	OTHER (specify)		Request for	Certificate of Correction	\$10
§ 1.91 for entry of a model or exhibit. § 1.102(d) to make an application special. § 1.138(c) to expressly abandon an application to avoid publication.	(Specify)	•		Subtotal (Additional Fees)	\$10
to withdraw an application from issue.  1.314 to defer issuance of a patent.			Total	Amount of Payment:	\$10
ubmitted by:	L				
CUSTOMER NUMBER DORSEY & WHITNEY LLP 27,076	Sea	ttle, WA	enue, Suite 34 98101-4010 00 phone / (206	00 6) 903-8820 <i>fax</i>	
Name: Edward W. Bulchis	7	, 555-551	20 p.1.0110 1 (200	Reg. No.: 26,847	
		11		Date:	

Effective on †2/08/04					Complete if Known	
' ـ ن	•	Applica	tion No.		10/781,148	
FEE TO ANOM	TTAL CUEPTO E	Filing D	ate		February 17, 2004	
FEE TRANSMI	ITAL SHEEN,	Filing D	entor		Alan R. Reinberg	
(FY 2	005)	S group A	Art Unit		1775	· · · · · · · · · · · · · · · · · · ·
	( 064 8 4 12	xamin	er Name		Stephen J. Stein	
Applicant claims small entity st			cket Num		500378.03	
METHOD OF PAYM	CAL S TO ALLE	<b>1</b> (1) (1)				
				ree U	CALCULATION (Continued)	· · · · · · · · · · · · · · · · · · ·
X The Director is hereby authorize required under 37 C.F.R. §§ 1.16 a credit any over payments to Depos Deposit Account Name: DORSEY	and 1.17 and 1.136(a)(3) and it Account No. <b>50-1266</b> ;	Large Entity Fee	Small Entity Fee	Fee	3. ADDITIONAL FEES  Description	Fee paid
☐ Check Enclosed.		50	25		charge - late provisional filing fee or er sheet	\$
Extra Clai	im Fees	130	65		charge – Late nonprovisional filing or oath	\$
Current Claims Prior Ext		180	180		mission of IDS	\$
Total20 = Ind 3 =	$\frac{x}{x} = \frac{\$ 0}{\$ 0}$	40	40		ording each patent assignment per	\$
Multiple Dependent Claims	x \$ = \$	120	60		erty (times number of properties) ension for reply within first month	
Subto	otal (Extra Claims) <u>\$0</u>	450	225		ension for reply within second month	<u> </u>
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§ 1.53(e) to accord a filing date. § 1.57(a) to accord a filing date.		790	395		mission After Final 1.129	\$
§ 1.182 for decision on a question to suspend the rules.	not provided for.	500	250		ce of Appeal	\$
	sion on petition refusing delayed	500	250		g a brief in support of an appeal	\$
§ 1.174(b) to accord a filing date to ar	n application under §1.740 for extension		500	•	uest for oral hearing	\$
of patent term.  Petition Fee under 37 CFR 1.17(g)	) Fee \$200	130	65		ninal Disclaimer Fee	\$
§ 1.12 for access to an assignm	nent record.	800	400		ign Issue Fee	\$
	I inventors or person not the inventor	r.			uest for Continued Examination	
§ 1.59 for expungement of Infor § 1.103(a) to suspend action in an a		790	395	(RCE	E)	\$
	or ext. of time when §1.136(a) not avail dish a statutory invention registration.	130			uest for voluntary publication or iblication	\$
§ 1.296 to withdraw a req. for pub.	after notice of intent to publish issued. sing to accept a maintenance fee filed	500	250	Petiti	tion to Revive ~ unavoidable	\$
prior to expiration of a pate		1,500	750	Petiti	tion to Revive unintentional	\$
§ 1.956 for request for ext. of tim	e in ex parte reexam, proceedings.	200		Filing	g for patent term adjustment	\$
§ 5.12 for expedited handling of for § 5.15 for changing the scope of a		400		Requ redu	uest for reinstatement of term	\$
§ 1.5.25 for retroactive license.  Petition Fee under 37 CFR 1.17(h)	) Fee \$130	1,120			nsion of term of patent	\$
§ 1.19(g) to request documents in § 1.84 for accepting color draw	n a form other than provided in this part. vings or photographs.	OTHER (specify		Requ	uest for Certificate of Correction	\$100
§ 1.102(d) to make an application s § 1.138(c) to expressly abandon as	special. n application to avoid publication.		-		Subtotal (Additional Fees)	\$100
§ 1.313 to withdraw an application § 1.314 to defer issuance of a page					Total Amount of Payment	\$100
Submitted by:		1				
CUSTOMER NUMBER	DORSEY & WHITNEY LLP				Suite 3400	
27,076			attle, WA 9 6) 903-880		4010 ne / (206) 903-8820 fax	
Name: Edward W. Bylchis	. /	2			Reg. No.: 26,847	
60/	1111	1	/		Date:	
Signature: Columns	II Du	as	ne		Cet. 2	7. 2005